

# Tannakian Categories attached to abelian Varieties

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February 1, 2008

Let  $k$  be an algebraically closed field  $k$ , where  $k$  is either the algebraic closure of a finite field or a field of characteristic zero. Let  $l$  be a prime different from the characteristic of  $k$ .

*Notations.* For a variety  $X$  over  $k$  let  $D_c^b(X, \overline{\mathbb{Q}}_l)$  denote the triangulated category of complexes of étale  $\overline{\mathbb{Q}}_l$ -sheaves on  $X$  in the sense of [5]. For a complex  $K \in D_c^b(X, \overline{\mathbb{Q}}_l)$  let  $D(K)$  denote its Verdier dual, and  $\mathcal{H}^\nu(K)$  denote its étale cohomology  $\overline{\mathbb{Q}}_l$ -sheaves with respect to the standard  $t$ -structure. The abelian subcategory  $Perv(X)$  of middle perverse sheaves is the full subcategory of all  $K \in D_c^b(X, \overline{\mathbb{Q}}_l)$ , for which  $K$  and its Verdier dual  $D(K)$  are contained in the full subcategory  ${}^pD^{\leq 0}(X)$  of semi-perverse sheaves, where  $L \in D_c^b(X, \overline{\mathbb{Q}}_l)$  is semi-perverse if and only if  $\dim(S_\nu) \leq \nu$  holds for all integers  $\nu \in \mathbb{Z}$ , where  $S_\nu$  denotes the support of the cohomology sheaf  $\mathcal{H}^{-\nu}(L)$  of  $L$ .

If  $k$  is the algebraic closure of a finite field  $\kappa$ , then a complex  $K$  of étale  $\overline{\mathbb{Q}}_l$ -Weil sheaves is mixed of weight  $\leq w$ , if all its cohomology sheaves  $\mathcal{H}^\nu(K)$  are mixed étale  $\overline{\mathbb{Q}}_l$ -sheaves with upper weights  $w(\mathcal{H}^\nu(K)) - \nu \leq w$  for all integers  $\nu$ . It is called pure of weight  $w$ , if  $K$  and its Verdier dual  $D(K)$  are mixed of weight  $\leq w$ . Concerning base fields of characteristic zero, we assume mixed sheaves to be sheaves of geometric origin in the sense of the last chapter of [1], so we still dispose over the notion of the weight filtration and purity and Gabber's decomposition theorem in this case. In this sense let  $Perv_m(X)$  denote the abelian category of mixed perverse sheaves on  $X$ . The full subcategory  $P(X)$  of  $Perv_m(X)$  of pure perverse sheaves is a semisimple abelian category.

*Abelian varieties.* Let  $X$  be an abelian variety of dimension  $g$  over an algebraically closed field  $k$ . The addition law of the abelian variety  $a : X \times X \rightarrow X$  defines the convolution product  $K * L \in D_c^b(X, \overline{\mathbb{Q}}_l)$  of two complexes  $K$  and  $L$  in  $D_c^b(X, \overline{\mathbb{Q}}_l)$  by the direct image

$$K * L = Ra_*(K \boxtimes L).$$

For the skyscraper sheaf  $\delta_0$  concentrated at the zero element  $0$  notice  $K * \delta_0 = K$ .

*Translation-invariant sheaf complexes.* More generally  $K * \delta_x = T_{-x}^*(K)$ , where  $x$  is a closed  $k$ -valued point in  $X$ ,  $\delta_x$  the skyscraper sheaf with support in  $\{x\}$  and where  $T_x(y) = y + x$  denotes the translation  $T_x : X \rightarrow X$  by  $x$ . In fact  $T_y^*(K * L) \cong T_y^*(K) * L \cong K * T_y^*(L)$  holds for all  $y \in X(k)$ . For  $K \in D_c^b(X, \overline{\mathbb{Q}}_l)$  let  $\text{Aut}(K)$  be the abstract group of all closed  $k$ -valued points  $x$  of  $X$ , for which  $T_x^*(K) \cong K$  holds. A complex  $K$  is called translation-invariant, provided  $\text{Aut}(K) = X(k)$ . If  $f : X \rightarrow Y$  is a surjective homomorphism between abelian varieties, then the direct image  $Rf_*(K)$  of a translation-invariant complex is translation-invariant. As a consequence of the formulas above, the convolution of an arbitrary  $K \in D_c^b(X, \overline{\mathbb{Q}}_l)$  with a translation-invariant complex on  $X$  is a translation-invariant complex. A translation-invariant perverse sheaf  $K$  on  $X$  is of the form  $K = E[g]$ , for an ordinary etale translation-invariant  $\overline{\mathbb{Q}}_l$ -sheaf  $E$ . For a translation-invariant complex  $K \in D_c^b(X, \overline{\mathbb{Q}}_l)$  the irreducible constituents of the perverse cohomology sheaves  ${}^p H^\nu(K)$  are translation-invariant.

*Multipliers.* The subcategory  $T(X)$  of  $\text{Perv}(X)$  of all perverse sheaves, whose irreducible perverse constituents are translation-invariant, is a Serre subcategory of the abelian category  $\text{Perv}(X)$ . Let denote  $\overline{\text{Perv}}(X)$  its abelian quotient category and  $\overline{P}(X)$  the image of  $P(X)$ , which is a full subcategory of semisimple objects. The full subcategory of  $D_c^b(X, \overline{\mathbb{Q}}_l)$  of all  $K$ , for which  ${}^p H^\nu(K) \in T(X)$ , is a thick subcategory of the triangulated category  $D_c^b(X, \overline{\mathbb{Q}}_l)$ . Let

$$\overline{D}_c^b(X, \overline{\mathbb{Q}}_l)$$

be the corresponding triangulated quotient category, which contains  $\overline{\text{Perv}}(X)$ . Then the convolution product

$$* : \overline{D}_c^b(X, \overline{\mathbb{Q}}_l) \times \overline{D}_c^b(X, \overline{\mathbb{Q}}_l) \rightarrow \overline{D}_c^b(X, \overline{\mathbb{Q}}_l)$$

still is well defined, by reasons indicated above.

**Definition.** A perverse sheaf  $K$  on  $X$  is called a multiplier, if the convolution induced by  $K$

$$*K : \overline{D}_c^b(X, \overline{\mathbb{Q}}_l) \rightarrow \overline{D}_c^b(X, \overline{\mathbb{Q}}_l)$$

preserves the abelian subcategory  $\overline{Perv}(X)$ .

Obvious from this definition are the following properties of multipliers: If  $K$  and  $L$  are multipliers, so are the product  $K * L$  and the direct sum  $K \oplus L$ . Direct summands of multipliers are multipliers. If  $K$  is a multiplier, then the Verdier dual  $D(K)$  is a multiplier and also the dual

$$K^\vee = (-id_X)^*(D(K)) .$$

Examples: 1) Skyscraper sheaves are multipliers 2) If  $i : C \hookrightarrow X$  is a projective curve, which generates the abelian variety  $X$ , and  $E$  is an etale  $\overline{\mathbb{Q}}_l$ -sheaf on  $C$  with finite monodromy, then the intersection cohomology sheaf attached to  $(C, E)$  is a multiplier. 3) If  $Y \hookrightarrow X$  is a smooth ample divisor, then the intersection cohomology sheaf of  $Y$  is a multiplier.

*The proofs.* 1) is obvious. For 2) we gave in [7] a proof by reduction mod  $p$  using the Cebotarev density theorem and counting of points. Concerning 3) the morphism  $j : U = X \setminus Y \hookrightarrow X$  is affine for ample divisors  $Y$ . Hence  $\lambda_U = Rj_! \overline{\mathbb{Q}}_l[g]$  and  $\lambda_Y = i_* \overline{\mathbb{Q}}_{l,Y}[g-1]$  are perverse sheaves, which coincide in  $\overline{Perv}(X)$ . The morphism  $\pi = a \circ (j \times id_X)$  is affine. Indeed  $W = \pi^{-1}(V)$  is affine for affine subsets  $V$  of  $X$ ,  $W$  being isomorphic under the isomorphism  $(u, v) \mapsto (u, u + v)$  of  $X^2$  to the affine product  $U \times V$ . By the affine vanishing theorem of Artin: For perverse sheaves  $L \in Perv(X)$  we get  $\lambda_U \boxtimes L \in Perv(X^2)$  and  ${}^p H^\nu(R\pi_!(\lambda_U \boxtimes L)) = 0$  for all  $\nu < 0$ . The distinguished triangle  $(Ra_*(\lambda_Y \boxtimes L), R\pi_!(\lambda_U \boxtimes L), Ra_*(\delta_X \boxtimes L))$  for  $\delta_X = \overline{\mathbb{Q}}_{l,X}[g]$  and the corresponding long exact perverse cohomology sequence gives isomorphisms  ${}^p H^{\nu-1}(\delta_X * L) \cong {}^p H^\nu(\lambda_Y * L)$  for the integers  $\nu < 0$ . Since  $Ra_*(\delta_X \boxtimes L) = \delta_X * L$  is a direct sum of translates of constant perverse sheaves  $\delta_X$ , we conclude  ${}^p H^\nu(\lambda_Y * L)$  for  $\nu < 0$  to be zero in  $\overline{Perv}(X)$ . For smooth  $Y$  the intersection cohomology sheaf is  $\lambda_Y = i_* \overline{\mathbb{Q}}_{l,Y}[g-1]$ , and it is self dual. Hence by Verdier duality  $i_* \overline{\mathbb{Q}}_{l,Y}[g-1] * L$  has image in  $\overline{Perv}(X)$ . Thus  $i_* \overline{\mathbb{Q}}_{l,Y}[g-1]$  is a multiplier.  $\square$

Let  $M(X) \subseteq P(X)$  denote the full category of semisimple multipliers. Let  $\overline{M}(X)$  denote its image in the quotient category  $\overline{P}(X)$  of  $P(X)$ . Then, by the

definition of multipliers, the convolution product preserves  $\overline{M}(X)$

$$* : \overline{M}(X) \times \overline{M}(X) \rightarrow \overline{M}(X) .$$

**Theorem.** *With respect to this convolution product the category  $\overline{M}(X)$  is a semisimple super-Tannakian  $\overline{\mathbb{Q}}_l$ -linear tensor category, hence as a tensor category  $\overline{M}(X)$  is equivalent to the category of representations  $\text{Rep}(G, \varepsilon)$  of a projective limit*

$$G = G(X)$$

*of supergroups.*

*Outline of proof.* The convolution product obviously satisfies the usual commutativity and associativity constraints compatible with unit objects. See [7] 2.1. By [7], corollary 3 furthermore one has functorial isomorphisms

$$\text{Hom}_{\overline{M}(X)}(K, L) \cong \Gamma_{\{0\}}(X, \mathcal{H}^0(K * L^\vee)^*) ,$$

where  $\mathcal{H}^0$  denotes the degree zero cohomology sheaf and  $\Gamma_{\{0\}}(X, -)$  sections with support in the neutral element. Let  $L = K$  be simple and nonzero. Then the left side becomes  $\text{End}_{\overline{M}(X)}(K) \cong \overline{\mathbb{Q}}_l$ . On the other hand  $K * L^\vee$  is a direct sum of a perverse sheaf  $P$  and translates of translation-invariant perverse sheaves. Hence  $\mathcal{H}^0(K * L^\vee)^\vee$  is the direct sum of a skyscraper sheaf  $S$  and translation-invariant etale sheaves. Therefore  $\Gamma_{\{0\}}(X, \mathcal{H}^0(K * L^\vee)^\vee) = \Gamma_{\{0\}}(X, S)$ . By a comparison of both sides therefore  $S = \delta_0$ . Notice  $\delta_0$  is the unit element 1 of the convolution product. Using the formula above we not only get

$$\text{Hom}_{\overline{M}(X)}(K, L) \cong \text{Hom}_{\overline{M}(X)}(K * L^\vee, 1) ,$$

but also find a nontrivial morphism

$$\text{ev}_K : K * K^\vee \rightarrow 1 .$$

By semisimplicity  $\delta_0$  is a direct summand of the complex  $K * K^\vee$ . In particular the Künneth formula implies, that the etale cohomology groups do not all vanish identically

$$H^\bullet(X, K) \neq 0 .$$

Therefore the arguments of [7] 2.6 show, that the simple perverse sheaf  $K$  is dualizable. Hence  $\overline{M}(X)$  is a rigid  $\overline{\mathbb{Q}}_l$ -linear tensor category. Let  $\mathcal{T}$  be a finitely

$\otimes$ -generated tensor subcategory with generator say  $A$ . To show  $\mathcal{T}$  is super-Tannakian, by [4] it is enough to show for all  $n$

$$\text{length}_{\mathcal{T}}(A^{*n}) \leq N^n ,$$

where  $N$  is a suitable constant. For any  $B \in \overline{\mathcal{M}}(X)$  let  $B$ , by abuse of notation, also denote the perverse semisimple representative in  $\text{Perv}(X)$  without translation invariant summand. Put  $h(B, t) = \sum_{\nu} \dim_{\overline{\mathbb{Q}}_l}(H^{\nu}(X, B))t^{\nu}$ . Then  $\text{length}_{\mathcal{T}}(B) \leq h(B, 1)$ , since every summand of  $B$  is a multiplier and therefore has nonvanishing cohomology. For  $B = A^{*n}$  the Künneth formula gives  $h(B, 1) = h(A, 1)^n$ . Therefore the estimate above holds for  $N = h(A, 1)$ . This completes the outline for the proof of the theorem.  $\square$

*Principally polarized abelian varieties.* Suppose  $Y$  is a divisor in  $X$  defining a principal polarization. Suppose the intersection cohomology sheaf  $\delta_Y$  of  $Y$  is a multiplier. Then a suitable translate of  $Y$  is symmetric, and again a multiplier. So we may assume  $Y = -Y$  is symmetric. Let  $\overline{\mathcal{M}}(X, Y)$  denote the super-Tannakian subcategory of  $\overline{\mathcal{M}}(X)$  generated by  $\delta_Y$ . The corresponding super-group  $G(X, Y)$  attached to  $\overline{\mathcal{M}}(X, Y)$  acts on the super-space  $W = \omega(\delta_Y)$  defined by the underlying super-fiber functor  $\omega$  of  $\overline{\mathcal{M}}(X)$ . By assumption  $\delta_Y$  is self dual in the sense, that there exists an isomorphism  $\varphi : \delta_Y^{\vee} \cong \delta_Y$ . Obviously  $\varphi^{\vee} = \pm \varphi$ . This defines a nondegenerate pairing on  $W$ , and the action of  $G(X, Y)$  on  $W$  respects this pairing.

*Curves.* If  $X$  is the Jacobian of smooth projective curve  $C$  of genus  $g$  over  $k$ ,  $X$  carries a natural principal polarization  $Y = W_{g-1}$ . If we replace this divisor by a symmetric translate, then  $Y$  is a multiplier. The corresponding group  $G(X, Y)$  is the semisimple algebraic group  $G = Sp(2g-2, \overline{\mathbb{Q}}_l)/\mu_{g-1}[2]$  or  $G = Sl(2g-2, \overline{\mathbb{Q}}_l)/\mu_{g-1}$  depending on whether the curve  $C$  is hyperelliptic or not. The representation  $W$  of  $G(X, Y)$  defined by  $\delta_Y$  as above is the unique irreducible  $\overline{\mathbb{Q}}_l$ -representation of  $G(X, Y)$  of highest weight, which occurs in the  $(g-1)$ -th exterior power of the  $(2g-2)$ -dimensional standard representation of  $G$ . See [7], section 7.6.

*Conjecture.* One could expect, that a principal polarized abelian variety  $(X, Y)$  of dimension  $g$  is isomorphic to a Jacobian variety  $(\text{Jac}(C), W_{g-1})$  of a smooth projective curve  $C$  (up to translates of the divisor  $Y$  in  $X$  as explained above) if and only if  $Y$  is a multiplier with corresponding super-Tannakian group  $G(X, Y)$  equal to one of the two groups

$$Sp(2g-2, \overline{\mathbb{Q}}_l)/\mu_{g-1}[2] \text{ or } Sl(2g-2, \overline{\mathbb{Q}}_l)/\mu_{g-1} .$$

## References

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